

Compact pseudo-Riemannian homogeneous spaces

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I Introduction

The objects of interest

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- The metric tensor g is non-degenerate but can be **indefinite**.
- A proper subspace $U \subset T_p M$ can be **totally isotropic**, that is, $g_p|_U = 0$.
- The **index s** of (M, g) is the **maximal dimension** of a totally isotropic subspace $U \subset T_p M$.
 - Riemannian $s = 0$ (positive definite).
 - Lorentzian $s = 1$ (“lightlike lines”).

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- G acts **transitively** and by **isometries** (in particular **volume-preserving**),
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Question:

- Which Lie groups G can be isometry groups of such M ?
- Which subgroups $H \subset G$ can be stabilizers of such actions?
- How is geometry of G and M related?

Related work

- Zimmer's and Gromov's work in the 1980s on rigid geometric structures.
- Adams & Stuck (1997), Zeghib (1998):
Classification of isometry groups of **compact Lorentzian** manifolds.
(Higher indices are much more difficult.)
- Zeghib (1998):
Classification of **compact homogeneous Lorentzian** manifolds.
- Quiroga-Barranco (2006):
Structure of **compact M** with **arbitrary index** and **topologically transitive** action of a **simple G** .

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- $\langle \cdot, \cdot \rangle$ is **$\text{Ad}_{\mathfrak{g}}(H)$ -invariant** (and **$\text{ad}_{\mathfrak{g}}(\mathfrak{h})$ -invariant**),

$$\begin{aligned}\langle \text{Ad}_{\mathfrak{g}}(h)x, \text{Ad}_{\mathfrak{g}}(h)y \rangle &= \langle x, y \rangle \quad \text{for all } h \in H, \\ \langle \text{ad}_{\mathfrak{g}}(h')x, y \rangle &= -\langle x, \text{ad}_{\mathfrak{g}}(h')y \rangle \quad \text{for all } h' \in \mathfrak{h}.\end{aligned}$$

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$$\langle \text{ad}_{\mathfrak{g}}(h')x, y \rangle = -\langle x, \text{ad}_{\mathfrak{g}}(h')y \rangle \quad \text{for all } h' \in \mathfrak{h}.$$

- The kernel of $\langle \cdot, \cdot \rangle$ is

$$\mathfrak{g}^{\perp} = \{x \in \mathfrak{g} \mid \langle x, \cdot \rangle = 0\} = \mathfrak{h}.$$

Recall: Zariski closure

$G \leq GL_n(\mathbb{C})$ is a linear algebraic group (given by polynomial equations).
For a subgroup of $H \leq G$, let \overline{H}^Z denote the **Zariski closure** of H in G :

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- \overline{H}^Z is the smallest algebraic subgroup of G that contains H .
- H is **Zariski-dense** in G if $\overline{H}^Z = G$.

Mostow Density Theorem (1971)

Let

- G be a connected Lie group,
- H a closed subgroup,
- μ a finite G -invariant finite measure on G/H ,
- $\sigma : G \rightarrow \mathrm{GL}(V)$ a representation, where $\dim V < \infty$.

Then $\overline{\sigma(H)}^Z$ contains a *cocompact normal subgroup* of $\overline{\sigma(G)}^Z$.

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 and $\overline{\sigma(H)}^Z \supset \overline{\sigma(N)}^Z$, where N is the nilradical of G .
- $\overline{\sigma(H)}^Z$ contains every \mathbb{R} -diagonalizable subgroup of $\overline{\sigma(G)}^Z$.

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Let R denote the solvable radical of G , so that $G = KSR$.

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Corollary

- 1 $\langle \cdot, \cdot \rangle$ is $\overline{\text{Ad}_{\mathfrak{g}}(H)}^Z$ -invariant.
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In particular, $\langle \cdot, \cdot \rangle$ is invariant under all nilpotent elements in $\mathfrak{L}\mathfrak{ie}(\overline{\text{Ad}_{\mathfrak{g}}(H)}^Z)$.

We say $\langle \cdot, \cdot \rangle$ is **nil-invariant**.

II Compact pseudo-Riemannian solvmanifolds

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- To understand G , study solvable Lie algebras \mathfrak{g} with nil-invariant $\langle \cdot, \cdot \rangle$.
- Recall: $\mathfrak{g}^\perp = \mathfrak{h}$.
- If G acts almost effectively, then \mathfrak{g}^\perp contains no ideal $\neq \mathbf{0}$ in \mathfrak{g} .

Example: Oscillator algebra

A solvable Lie algebra with Lorentzian (nil-)invariant product is the oscillator algebra

$$\mathfrak{g} = \mathfrak{osc}(\alpha) = \mathbb{R} \ltimes \mathfrak{hei}_{2n+1}$$

where the Heisenberg algebra is

$$\mathfrak{hei}_{2n+1} = \mathbb{R}^{2n} \times \mathbb{R},$$

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Define Lorentzian $\langle \cdot, \cdot \rangle$ on $\mathfrak{osc}(\alpha)$ by a definite scalar product on \mathbb{R}^{2n} and a dual pairing of totally isotropic subspaces \mathbb{R} and \mathbb{R} .

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- For index ≥ 2 , Kath and Olbrich (2004) gave a classification scheme for Lie algebras with invariant scalar product, and a classification for index 2.
- For index ≥ 3 classification becomes extremely complicated.

Discrete stabilizer for solvable G

Proposition

Let $\langle \cdot, \cdot \rangle$ be a symmetric nil-invariant bilinear form on a solvable Lie algebra \mathfrak{g} .

- Then \mathfrak{g}^\perp is an ideal in \mathfrak{g} .
- If \mathfrak{g}^\perp contains no non-trivial ideals of \mathfrak{g} , then $\mathfrak{g}^\perp = \mathbf{0}$.

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Theorem A

Assume G is solvable.

Then G acts *almost freely* on M .

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Meaning:

- The stabiliser $\Gamma = G_x$ is discrete.
- The metric g on M pulls back to a left-invariant pseudo-Riemannian metric g_G on G .
- g_G is invariant under conjugation by Γ .

Bi-invariant metric for solvable G

\mathfrak{g} is solvable with nil-invariant scalar product $\langle \cdot, \cdot \rangle$.

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Proof

- Let \mathfrak{j} be a totally isotropic central ideal in \mathfrak{g} . Define the reduction $\bar{\mathfrak{g}} = \mathfrak{j}^\perp / \mathfrak{j}$.
- $\langle \cdot, \cdot \rangle$ induces a nil-invariant scalar product $\langle \cdot, \cdot \rangle_{\bar{\mathfrak{g}}}$ on $\bar{\mathfrak{g}}$.

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- It is now easily verified that $\langle \cdot, \cdot \rangle$ on \mathfrak{g} is invariant if $\langle \cdot, \cdot \rangle_{\bar{\mathfrak{g}}}$ is.
- Iterated reduction to the abelian case and induction yield the result. □

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Theorem B

Assume G is solvable.

Then \mathfrak{g} pulls back to a **bi-invariant** pseudo-Riemannian metric g_G on G .

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Corollary A+B

The universal cover \widetilde{M} of M is a pseudo-Riemannian symmetric space.
In particular, M is locally symmetric.

No larger isometry groups

Theorem C

Assume G is solvable and effective.

Then $G = \text{Iso}(M)^\circ$.

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Implications:

- Johnson (1972) showed that any solvmanifold has presentations by connected solvable groups of arbitrary dimension.
- Theorem A shows that most of them **cannot act isometrically**.
- Theorem C shows that **no larger non-solvable** group can act isometrically.

III Compact pseudo-Riemannian homogeneous spaces for arbitrary Lie groups

Lie groups of general type

Now let G be an arbitrary connected Lie group, and K, S, R as before,

- K compact semisimple,
- S semisimple without compact factors,
- R the solvable radical of G .

We study Lie algebras

$$\mathfrak{g} = (\mathfrak{k} \times \mathfrak{s}) \ltimes \mathfrak{r}$$

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- $\langle \cdot, \cdot \rangle$ is a priori invariant under $\text{Ad}_{\mathfrak{g}}(S)$ and $\text{Ad}_{\mathfrak{g}}(R)_{\text{split}}$ (maximal trigonalisable).
- From the solvable case it follows that *the restriction $\langle \cdot, \cdot \rangle_{\mathfrak{s} \ltimes \mathfrak{r}}$ is invariant under $\text{Ad}_{\mathfrak{g}}(SR)$.*

Lorentzian case

If the index of $\langle \cdot, \cdot \rangle$ is 1, then $\mathfrak{g} = \mathfrak{k} \times \mathfrak{s} \times \mathfrak{t}$, with either $\mathfrak{s} = \mathbf{0}$ or $\mathfrak{s} = \mathfrak{sl}_2(\mathbb{R})$.

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Zeghib's Theorem (1998)

Let M be a homogeneous Lorentzian manifold of finite volume.

Then, up to “Riemannian type factors”, M is one of the following:

- ① $\text{Iso}(M, \mathfrak{g})$ contains a cover of $\text{PSL}_2(\mathbb{R})$.
 $\widetilde{M} = \widetilde{\text{SL}}_2(\mathbb{R}) \times L$, where L is a compact Riemannian homogeneous space.
- ② $\text{Iso}(M, \mathfrak{g})$ contains an oscillator group $\text{Osc}(\alpha)$.
 $\widetilde{M} = \text{Osc}(\alpha) \times_{\mathfrak{S}^1} L$, and $M = \widetilde{M}/\Gamma$, where Γ is isomorphic to a lattice in $\text{Osc}(\alpha)$.

SR-invariance

Suppose the index of $\langle \cdot, \cdot \rangle$ is ≥ 1 .

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Theorem D

- 1 $\langle \cdot, \cdot \rangle$ is $\text{Ad}_{\mathfrak{g}}(SR)$ -invariant.
- 2 $\langle \cdot, \cdot \rangle_{\mathfrak{S} \times \mathfrak{T}}$ is $\text{Ad}_{\mathfrak{g}}(G)$ -invariant.

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Theorem E

If the index of $\langle \cdot, \cdot \rangle$ is ≤ 2 and \mathfrak{g}^{\perp} does not contain a non-trivial ideal of \mathfrak{g} , then:

- ① \mathfrak{g} is a direct sum of ideals $\mathfrak{g} = \mathfrak{k} \times \mathfrak{s} \times \mathfrak{r}$.
- ② $\mathfrak{g}^{\perp} \subset \mathfrak{z}(\mathfrak{r}) \times \mathfrak{k}$ and $\mathfrak{g}^{\perp} \cap (\mathfrak{s} \times \mathfrak{r}) = \mathbf{0}$.

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This allows us to

- classify Lie algebras with $\langle \cdot, \cdot \rangle$ for index ≤ 2 ,
- recover Zeghib's Theorem (Lorentzian case) by algebraic methods,
- prove an analogue of Zeghib's Theorem for index 2.

IV Application: Compact pseudo-Riemannian Einstein solvmanifolds

(M, g) is called **Einstein manifold** if

$$\text{Ric} = \lambda g$$

for some constant $\lambda \in \mathbb{R}$.

For a bi-invariant metric g on G ,

$$\text{Ric} = -\frac{1}{4}\kappa$$

where $\kappa(x, y) = \text{tr}(\text{ad}(x)\text{ad}(y))$ is the **Killing form** of \mathfrak{g} .

Compact Einstein solvmanifolds

Let (M, g_M) be a compact pseudo-Riemannian solvmanifold.

Recall:

- $M = G/\Gamma$ for a lattice $\Gamma \leq G$.
- g_M pulls back to bi-invariant g_G .
- $\langle \cdot, \cdot \rangle$ on \mathfrak{g} is non-degenerate and invariant.

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- g_M pulls back to bi-invariant g_G .
- $\langle \cdot, \cdot \rangle$ on \mathfrak{g} is non-degenerate and invariant.

If (M, g_M) is Einstein, then

$$\text{Ric} = 0 = \kappa.$$

Example

If \mathfrak{g} is nilpotent, then $\kappa = 0$.

Question: Are there **solvable** \mathfrak{g} , **not nilpotent**, with

- 1 $\kappa = 0$
- 2 and invariant scalar product $\langle \cdot, \cdot \rangle$?

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- Take the abelian Lie algebra \mathfrak{ab}_1^4 with $\dim \mathfrak{ab}_1^4 = 4$ and a Lorentzian scalar product.

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Example

- Take the abelian Lie algebra \mathfrak{ab}_1^4 with $\dim \mathfrak{ab}_1^4 = 4$ and a Lorentzian scalar product.
- Take extension

$$\mathfrak{g} = \mathfrak{j}^* \ltimes (\mathfrak{ab}_1^4 \times_{\omega} \mathfrak{j})$$

where $\dim \mathfrak{j} = \dim \mathfrak{j}^* = 1$ and $a \in \mathfrak{j}^*$ acts on \mathfrak{ab}_1^4 by

$$\delta_a = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}.$$

Define $\langle \cdot, \cdot \rangle_{\mathfrak{g}}$ on $\mathfrak{j}^* \times \mathfrak{j}$ by dual pairing. A cocycle ω corrects the Lie bracket on $\mathfrak{ab}_1^4 \times \mathfrak{j}$ such that $\langle \cdot, \cdot \rangle_{\mathfrak{g}}$ becomes invariant with signature $(4, 2)$.

Einstein scalar products

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Theorem F

Let $(\mathfrak{g}, \langle \cdot, \cdot \rangle)$ be a solvable Lie algebra with invariant Einstein scalar product. If \mathfrak{g} is not nilpotent, then $\dim \mathfrak{g} \geq 6$ and the index of $\langle \cdot, \cdot \rangle$ is ≥ 2 .

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The characteristic polynomial of $\exp(\text{ad}(a))$ has coefficients in \mathbb{Z} for certain $a \in \mathfrak{a}$... which means that all eigenvalues e^{λ_i} , e^{ξ_j} of $\exp(\text{ad}(a))$ are algebraic numbers.

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Generalizations:

- **Baker's Theorem (1966/67)** on linear forms in logarithms of algebraic numbers (Fields Medal 1970).
- **Schanuel's Conjecture**, stating:
Let $\alpha_1, \dots, \alpha_d$ be complex numbers that are linearly independent over \mathbb{Q} . Then the transcendence degree over \mathbb{Q} of the extension field $\mathbb{Q}(\alpha_1, \dots, \alpha_d, e^{\alpha_1}, \dots, e^{\alpha_d})$ is at least d .

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Let $X \in \mathbb{R}^{n \times n}$ in the normal form of $\text{ad}(a)$ with eigenvalues $\lambda_1, \dots, \lambda_k \in \mathbb{R}$ and $\zeta_1, \dots, \zeta_m, \bar{\zeta}_1, \dots, \bar{\zeta}_m \in \mathbb{C} \setminus \mathbb{R}$. Suppose the eigenvalues satisfy

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Conjecture

If Schanuel's Conjecture is true, then the Algebraic Lemma holds without " $n \leq 5$ ".

Solv \Rightarrow Nil

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Conjecture

Every compact pseudo-Riemannian Einstein solvmanifold is a nilmanifold.

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